

Engaging in Narrative Inquiry (Developing Qualitative Inquiry)

Financial Advice

A communication that, based on its content, context, and presentation, would reasonably be viewed as a recommendation that the Client take or refrain from taking a particular course of action with respect to: The development or implementation of a financial plan; The value of or the advisability of investing in, purchasing, holding, gifting, or selling Financial Assets; Investment policies or strategies, portfolio composition, the management of Financial Assets, or other financial matters; or The selection and retention of other persons to provide financial or Professional Services to the Client; or The exercise of discretionary authority over the Financial Assets of a Client.

The determination of whether Financial Advice has been provided is an objective rather than subjective inquiry. The more individually tailored the communication is to the Client, the more likely the communication will be viewed as Financial Advice. The provision of services or the furnishing or making available of marketing materials, general financial education materials, or general financial communications that a reasonable CFP® professional would not view as Financial Advice, does not constitute Financial Advice.

Reference

[Using Art as Research in Learning and Teaching: Multidisciplinary Approaches Across the Arts](#)

[Frontiers of Test Validity Theory: Measurement, Causation, and Meaning \(Multivariate Applications Series\)](#)